

06/29/01
J129 U.S. PTO

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JC972/895/16 PTO
06/29/01

Please type a plus sign (+) inside this box [+]

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 42390P11148
(maximum 12 characters)

First Named Inventor Kambhammettu et al.

Title: Managed Hosting Server Auditing and Change Tracking

Express Mail Label No. EL 906880585

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. **Fee Transmittal Form** (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)

EXPRESS MAIL CERTIFICATE OF MAILING

Express Mail mailing label number EL 906880585US
hereby certify that I am causing this paper or fee to be
deposited with the United States Postal Service "Express
Mail Post Office to Addressee" service on the date indicated
above and that this paper or fee has been addressed to the
Assistant Commissioner for Patents, Washington, DC
20231.

June 29, 2001
Krista Mathiesen
Name of Person Mailing Correspondence
Krista Mathiesen, 6/29/01
Signature Date

2. **Applicant Claims Small Entity Status.** (37 CFR 1.27)

3. **Specification** (Total Pages 22)
(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. **Drawings(s)** (35 USC 113) (Total Sheets 8)

5. **Oath or Declaration** (Total Pages 5)

- a. Newly Executed (Original or Copy)
- b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting
inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)
and 1.33(b).
- c. Unsigned.

6. **Application Data Sheet.** (37 CFR 1.76)

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
- c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. **Assignment Papers (cover sheet & documents(s))**
10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
- b. Power of Attorney
11. English Translation Document (if applicable)
12. a. Information Disclosure Statement (IDS)/PTO-1449
- b. Copies of IDS Citations
13. **Preliminary Amendment**
14. **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17. Other: Express Mail Label

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation
Of Prior Application No.: _____ Divisional
Examiner _____ Continuation-in-part (CIP)
Group Art Unit _____

(which is a continuation/ divisional/ CIP of prior application no. _____,
which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that _____ (name of assignee) is
the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an
assignment recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____
(or a copy of which is attached).

19. Correspondence Address

Customer Number or Bar Code Label _____
or _____ (Insert Customer No. or Attach Bar Code Label here)

Correspondence Address Below

NAME _____

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (303) 740-1980 FAX (303) 740-6962

Name (PRINT/TYPE): Michael A. DeSanctis Registration No.: 48,011

Signature: Michael A. DeSanctis Date: June 29, 2001

FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT (\$) \$764.00

Complete if Known:

Application No. *****

Filing Date Concurrently Herewith

First Named Inventor Kambhamettu et al.

Group Art Unit Not Yet Assigned

Examiner Name Not Yet Assigned

Attorney Docket No. 42390P11148

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name _____

EXPRESS MAIL CERTIFICATE OF MAILING

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27(c). Office to Addressee's service on the date it is received and that this paper or fee has been addressed to me.

2. Payment Enclosed: Check _____ Assistant Commissioner for Patents, Washington, DC 20231.
 Credit Card _____
 Money Order _____
 Other _____

June 29, 2001

Date of Deposit

FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
101	710	201	355
106	320	206	160
107	490	207	245
108	710	208	355
114	150	214	75
		Utility application filing fee	\$ 710.00
		Design application filing fee	_____
		Plant filing fee	_____
		Reissue filing fee	_____
		Provisional application filing fee	_____

SUBTOTAL (1) \$ 710.002. EXTRA CLAIM FEES

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims <u>23</u>	- 20** = <u>3</u>	X \$ <u>18.00</u>	= <u>\$54.00</u>
Independent Claims <u>3</u>	- 3** = <u>0</u>	X \$ <u>80.00</u>	= <u>_____</u>
Multiple Dependent			= <u>_____</u>

**Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>
Fee	Fee	Fee
Code	(\$)	Code
103	18	203
102	80	202
104	270	204
109	80	209
110	18	210
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		**Reissue independent claims over original patent
		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 54.00

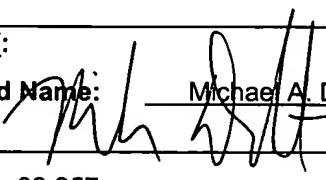
FEE CALCULATION (continued)**3. ADDITIONAL FEES**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code	Fee (\$)
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
141	1,240	241	620
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	130	123	130
126	180	126	180
581	40	581	40
146	710	246	355
149	710	249	355
179	710	279	355
169	900	169	900
Other fee (specify)			\$ _____
Other fee (specify)			\$ _____

SUBTOTAL (3) \$ 0.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Michael A. DeSanctis
 Signature:  Date: June 29, 2001
 Reg. Number: 39,957 Telephone Number: 303-740-1980

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Serial/Patent No. ***** Filing/Issue Date: Concurrently Herewith
Client: Intel Corporation

Title: Managed Hosting Server Auditing and Change Tracking

BSTZ File N42390P11148

Date Mailed: June 29 2001

The following has been received in the U.S. Patent

Amendment/Response (pgs.)

Appeal Brief (pgs.) (triplicate)

Application - Utility (22 pgs., with cover and abstract)

Application - Rule 1.53(b) Continuation (pgs.)

Application - Rule 1.53(b) Divisional (pgs.)

Application - Rule 1.53(b) CIP (pgs.)

Application - Rule 1.53(d) CPA Transmittal (pgs.)

Application - Design (pgs.)

Application - PCT (pgs.)

Application - Provisional (pgs.)

Assignment and Cover Sheet

Certificate of Mailing

Declaration & POA (5 pgs.)

Disclosure Docs & Org & Copy of Inventor Signed Letter (pgs.)

Drawings: 8 # of sheets includes 8 figures

Other: _____

Atty/Secty Initials: MAD/WJD/kam

Docket Due Date: June 30, 2001

& Trademark Office on the date stamped hereon:

Express Mail No: EL906880585US Check No: 1638

Month(s) Extension of Time Amt: \$764.00

Information Disclosure Statement & PTO-149 (pgs.) Check No: _____

Issue Fee Transmittal Amt: _____

Notice of Appeal

Petition for Extension of Time

Petition for _____

Postcard

Power of Attorney (pgs.)

Preliminary Amendment (pgs.)

Reply Brief (pgs.)

Response to Notice of Missing Parts

Small Entity Declaration for Indep. Inventor/Small Business

Transmittal Letter, in duplicate

Fee Transmittal, in duplicate

EL906880585US

EXPRESS MAIL CERTIFICATE OF MAILING

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I hereby certify that I am causing the above-referenced correspondence to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated below and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

June 29, 2001

Date of Deposit

Krista Mathieson

Name of Person Mailing Correspondence

Krista Mathieson

Signature

June 29, 2001

Date